| Electronic Acknowledgement Receipt   |   |  |  |  |
|--------------------------------------|---|--|--|--|
| EFS ID:                              | 6450031   |  |  |  |
| Application Number:                  | 10619754  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 3323  |  |  |  |
| Title of Invention:                  | Methods for identifying tumors that are responsive to treatment with and ErbB2 antibodies |  |  |  |
| First Named Inventor/Applicant Name: | Birgit Bossenmaier  |  |  |  |
| Customer Number:                     | 35489   |  |  |  |
| Filer:                               | Ginger R. Dreger/Sherrie Dufault  |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |

## **Payment information:**

| Payment Type Deposit Account                    |  |
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| Payment was successfully received in RAM \$1290 |  |
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|--|---|---|--|---------------------|---------------------|--|--|--|--|
| 1                                      |   | 11-13-09-Amendment.pdf                              | 68002  | yes                 | 12                  |  |  |  |  |
| 1                                      |   |   | 2ddacd45ad8cb1faf0e3a6414db15471f5a2<br>3039 |                     |                     |  |  |  |  |
|  | Multip  | Multipart Description/PDF files in .zip description |  |                     |                     |  |  |  |  |
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| -                                      | Amendment/Req. Reconsideration-After Non-Final Reject |   | 1  | 1                   |                     |  |  |  |  |
|  | Claims  |   | 2  | 5                   |                     |  |  |  |  |
|  | Applicant Arguments/Remarks Made in an Amendment      |   | 6  | 12                  |                     |  |  |  |  |
| Warnings:                              |   |   |  |                     |                     |  |  |  |  |
| Information:                           |   |   |  |                     |                     |  |  |  |  |
| Information Disclosure Statement (IDS) | 11-13-09-SupplementalIDS.pdf                          | 41204   | no   | 3                   |                     |  |  |  |  |
| 2                                      | Filed (SB/08)   | 11-13-09-3upplementalibs.pur                        | b653f67df0db829fe87aa4ca5ba7c671a7c6<br>c905 |                     | ,<br>               |  |  |  |  |
| Warnings:                              |   |   |  |                     |                     |  |  |  |  |
| Information:                           |   |   |  |                     |                     |  |  |  |  |
| This is not an US                      | SPTO supplied IDS fillable form                       |   |  |                     |                     |  |  |  |  |
| 3 NPL Documents                        | NPL Documents   | 11-13-09-Miller-Ref.pdf                             | 154205                                       | no                  | 2                   |  |  |  |  |
|  | 1. 15 05 Miller Relipur                               | 0febae3ca08d12c158b01b3ae3b26b04a9d<br>8ce9b        |  |                     |                     |  |  |  |  |
| Warnings:                              |   |   |  |                     |                     |  |  |  |  |
| Information:                           |   |   |  |                     |                     |  |  |  |  |
| 4 Fee Worksheet (PTO-875)              | fee-info.pdf  | 32151   | no   | 2                   |                     |  |  |  |  |
|  |   | d055ce3957e4fe05c2bfcf2b2275190cf2153<br>e3b        |  |                     |                     |  |  |  |  |
| Warnings:                              |   |   |  |                     |                     |  |  |  |  |
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.